

3 × 3 MINORS OF CATALECTICANTS

CLAUDIU RAICU

ABSTRACT. Secant varieties of Veronese embeddings of projective space are classical varieties whose equations are not completely understood. Minors of catalecticant matrices furnish some of their equations, and in some situations even generate their ideals. Geramita conjectured that this is the case for the secant line variety of the Veronese variety, namely that its ideal is generated by the 3×3 minors of any of the “middle” catalecticants. Part of this conjecture is the statement that the ideals of 3×3 minors are equal for most catalecticants, and this was known to hold set-theoretically. We prove the equality of 3×3 minors and derive Geramita’s conjecture as a consequence of previous work by Kanev.

1. INTRODUCTION

A folklore theorem (see [GP82, Eis88, Con98]) states that the defining ideal of any secant variety of a rational normal curve is generated by the minors of a generic Hankel matrix, and that apart from trivial restrictions, it doesn’t matter which Hankel matrix we choose to take the minors of. For example, consider a rational quartic curve C in \mathbb{P}^4 , the image of the embedding

$$[x : y] \longrightarrow [x^4 : x^3y : x^2y^2 : xy^3 : y^4].$$

If we let z_0, \dots, z_4 denote the coordinate functions on \mathbb{P}^4 , then the relevant Hankel matrices are

$$\begin{bmatrix} z_0 & z_1 & z_2 & z_3 \\ z_1 & z_2 & z_3 & z_4 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} z_0 & z_1 & z_2 \\ z_1 & z_2 & z_3 \\ z_2 & z_3 & z_4 \end{bmatrix}.$$

The ideals of 2×2 minors of the two matrices coincide and generate the ideal of C , while the determinant of the second one cuts out the cubic 3-fold which is the union of the lines secant to C . The union of the higher dimensional planes secant to C covers the whole ambient space \mathbb{P}^4 , which can be explained by the fact that the above matrices don’t have minors of size larger than three.

Unlike the case of \mathbb{P}^1 which is completely understood, we do not know in general the equations of the secant varieties of Veronese embeddings of higher dimensional projective spaces. Minors of catalecticant matrices (which are generalized versions of Hankel matrices, see Section 2.2 for definitions) provide some equations for these secant varieties, but turn out not to be sufficient in many cases.

Date: November 14, 2011.

2000 Mathematics Subject Classification. Primary 14M12.

Key words and phrases. Catalecticant matrices, Veronese varieties, secant varieties.

Determinantal loci of catalecticant matrices are of particular interest in their own right, but also via their connection to Hilbert functions of Gorenstein Artin algebras, the polynomial Waring problem, or configurations of points in projective space (see [Ger96, IK99]). In [Ger99], Geramita gives a beautiful exposition of classical results about catalecticant varieties, and proposes several further questions (see also [IK99], Chapter 9). We recall the last one, which we shall answer affirmatively. It is divided into two parts:

Q5a. Is it true that

$$I_3(\text{Cat}(2, d-2; n)) = I_3(\text{Cat}(t, d-t; n))$$

for all t with $2 \leq t \leq d-2$?

Q5b. Is it true that for $n \geq 3$ and $d \geq 4$

$$I_3(\text{Cat}(1, d-1; n)) \subsetneq I_3(\text{Cat}(2, d-2; n))?$$

Here $\text{Cat}(t, d-t; n)$ denotes the t -th generic catalecticant (see Section 2.2), and $I_3(\text{Cat}(t, d-t; n))$ is the ideal generated by its 3×3 minors.

Our main result answers positively both Q5a and Q5b:

Theorem 5.1. *Let K be a field of characteristic 0 and let $n, d \geq 2$ be integers. The following statements hold:*

(1) *For all t with $2 \leq t \leq d-2$ one has*

$$I_3(\text{Cat}(2, d-2; n)) = I_3(\text{Cat}(t, d-t; n)).$$

(2) *If $d \geq 4$ then there is a strict inclusion*

$$I_3(\text{Cat}(1, d-1; n)) \subsetneq I_3(\text{Cat}(2, d-2; n)).$$

Geramita also conjectures that any of the catalecticant ideals $I_3(\text{Cat}(t, d-t; n))$, $2 \leq t \leq d-2$, is the ideal of the secant line variety of the d -uple embedding of \mathbb{P}^{n-1} . This follows by combining Theorem 5.1 with the result of Kanev [Kan99] which states that the ideal of the secant line variety of the Veronese variety is generated by the 3×3 minors of the first and second catalecticants:

Corollary 5.2. *Any of the ideals $I_3(\text{Cat}(t, d-t; n))$, $2 \leq t \leq d-2$, is the ideal of the first secant variety of the d -th Veronese embedding of \mathbb{P}_K^{n-1} .*

As mentioned earlier, when $n = 2$ it is well-known [GP82, Eis88, Con98] that

$$I_k(\text{Cat}(k-1, d-k+1; 2)) = I_k(\text{Cat}(t, d-t; 2)) \tag{1.1}$$

for all t with $k-1 \leq t \leq d-k+1$, and that any of these ideals is the ideal of the $(k-2)$ -nd secant variety of the d -uple embedding of \mathbb{P}^1 . This fact will turn out to be useful in the proof of Theorem 5.1.

Theorem 5.1 yields special cases of two general conjectures. One of them is implicit in Geramita's question Q4 from [Ger99]:

Conjecture 1.1. *For all $k, n \geq 2$, $d \geq 2k-2$ and t with $k-1 \leq t \leq d-k+1$, one has*

$$I_k(\text{Cat}(k-1, d-k+1; n)) = I_k(\text{Cat}(t, d-t; n)).$$

Moreover, the following inclusions hold:

$$I_k(\text{Cat}(1, d-1; n)) \subset I_k(\text{Cat}(2, d-2; n)) \subset \cdots \subset I_k(\text{Cat}(k-1, d-k+1; n)).$$

The other one is a conjecture by Sidman and Smith [SS09]:

Conjecture 1.2. *Let k be a positive integer. If $X \subset \mathbb{P}^n$ is embedded by the complete linear series of a sufficiently ample line bundle, then the homogeneous ideal of the $(k-2)$ -nd secant variety of X is generated by the $k \times k$ -minors of a 1-generic matrix of linear forms.*

Conjecture 1.2 has been proved to be false for singular X [BGL10], but there are no known smooth counterexamples. The case $X = \mathbb{P}^r$ is a sufficiently interesting special case. In [BB10] it is shown that minors of catalecticants are not enough to cut out the secant varieties even for very positive embeddings of projective space. Both conjectures 1.1 and 1.2 are known to be true for $k = 2$, by results of Pucci [Puc98] and Sidman and Smith [SS09]. The argument in [Puc98] is rather long, so we will give a simplified proof in Section 4. The case $k = 3$ is treated in Section 5. The case $k = 4$ of Conjecture 1.1 is proved using similar techniques in [Rai11b].

The main tool that we will be using in our proofs is the reduction to the “generic” situation, as explained in [Rai11a]. Showing the equality of the spaces of minors for the various catalecticants reduces to the more combinatorial problem of showing that certain representations of a symmetric group coincide.

The structure of the paper is as follows. In Section 2 we establish some notation from representation theory, and recall some basic facts about catalecticant varieties and secant varieties of Veronese varieties. In particular, we describe the relationship between catalecticant matrices and Gorenstein Artin algebras, which motivates Conjecture 1.1. In Section 3 we set up the “generic case”: we introduce certain representations of symmetric groups which correspond by specialization to ideals of minors of catalecticant matrices. We then illustrate our techniques in Section 4 by giving a simple proof of Pucci’s result - Conjecture 1.1 in the case $k = 2$. In Section 5 we give an affirmative answer to Geramita’s questions Q5a and Q5b (Theorem 5.1).

2. PRELIMINARIES

2.1. Representation theory. In this section K will be a field of characteristic zero and G a group, either $GL(V)$, the group of invertible linear transformations of some vector space V , or S_N , the group of permutations of the set $\{1, \dots, N\}$, for some positive integer N . For an introduction to the representation theory of the symmetric and general linear groups see [FH91] or [Mac95].

A partition λ of an integer N is a nonincreasing sequence $\lambda_1 \geq \lambda_2 \geq \dots$ with $N = \sum \lambda_i$. We write $\lambda = (\lambda_1, \lambda_2, \dots)$. Alternatively, if μ is a partition having i_j parts equal to μ_j for all j , then we write $\mu = (\mu_1^{i_1} \cdot \mu_2^{i_2} \cdot \dots)$. To a partition λ we associate a **Young diagram** which consists of left-justified rows of boxes, with λ_i boxes in the i -th row. We shall identify a partition λ with its Young diagram. A **tableau** is a filling of the Young diagram. The **canonical tableau** is the one that numbers the boxes consecutively from left to right, top to bottom. For $\lambda = (3, 3, 1) = (1^1 \cdot 3^2)$, the canonical tableau is

1	2	3
4	5	6
7		

The irreducible representations of G (that will concern us) are classified in both the case $G = GL(V)$ and $G = S_N$ by partitions λ . For $GL(V)$, they are the so called **Schur functors** $S_\lambda V$. Special cases are $S_{(d)}V = \text{Sym}^d V$, the d -th symmetric power of V , and $S_{(1^k)}V = \Lambda^k V$, the k -th exterior power of V . For $G = S_N$, we write $[\lambda]$ for the irreducible representation corresponding to the partition λ . $[(N)]$ denotes the trivial representation, while $[(1^N)]$ denotes the sign representation.

The G -representations W that we consider decompose as a direct sum of $S_\lambda V$'s (or $[\lambda]$'s). We write

$$W = \bigoplus_{\lambda} W_{\lambda},$$

where $W_{\lambda} \simeq (S_{\lambda} V)^{m_{\lambda}}$ (or $W_{\lambda} \simeq [\lambda]^{m_{\lambda}}$) for some nonnegative integer $m_{\lambda} = m_{\lambda}(W)$, called the **multiplicity** of $S_{\lambda} V$ (or $[\lambda]$) in W . We call W_{λ} the **λ -part** of the representation W .

Up to making some choices, each W_{λ} contains a distinguished subspace $\text{hwt}_{\lambda}(W)$, called the **λ -highest weight space** of W . For $GL(V)$, this is the space of vectors of weight λ invariant under (some choice of) the Borel subgroup, while for S_N it is the vector space $c_{\lambda} \cdot W$, where c_{λ} is a Young symmetrizer. The defining property that will be important for us is that $\text{hwt}_{\lambda}(W)$ is a vector space of dimension $m_{\lambda}(W)$ which generates W_{λ} as a G -representation.

2.2. Catalecticant varieties. Given a vector space V of dimension n over K , with basis $\mathcal{B} = \{x_1, \dots, x_n\}$, we consider its dual space V^* with dual basis $\mathcal{E} = \{e_1, \dots, e_n\}$. For every positive integer d we get a basis of $S_{(d)}V^*$ consisting of **divided power monomials** $e^{(\alpha)}$ of degree d in the e_i 's, as follows. If $\alpha \subset \{1, \dots, n\}$ is a multiset of size $|\alpha| = d$, then we write e^{α} for the monomial

$$\prod_{i \in \alpha} e_i.$$

We often identify α with the multiindex $(\alpha_1, \dots, \alpha_n)$, where α_i represents the number of occurrences of i in the multiset α . We write $e^{(\alpha)}$ for $e^{\alpha}/\alpha!$, where $\alpha! = \alpha_1! \cdots \alpha_n!$. For $a, b > 0$ with $a + b = d$ we get a **divided power multiplication map** $S_{(a)}V^* \otimes S_{(b)}V^* \rightarrow S_{(d)}V^*$, sending $e^{(\alpha)} \otimes e^{(\beta)}$ to $e^{(\alpha \cup \beta)}$. We can represent this via a multiplication table whose rows and columns are indexed by multisets of sizes a and b respectively, and whose entry in the (α, β) position is $e^{(\alpha \cup \beta)}$. The **generic catalecticant matrix** $Cat(a, b; n)$ is defined to be the matrix obtained from this multiplication table by replacing each $e^{(\alpha \cup \beta)}$ with the variable $z_{\alpha \cup \beta}$, where $(z_{\gamma})_{|\gamma|=d} \subset S_{(d)}V$ is the dual basis to $(e^{(\gamma)})_{|\gamma|=d} \subset S_{(d)}V^*$.

One can also think of z_{γ} 's as the coefficients of the generic form of degree d in the e_i 's, $F = \sum z_{\gamma} e^{(\gamma)}$. Specializing the z_{γ} 's we get an actual form $f \in S_{(d)}V^*$, and we denote the corresponding **catalecticant matrix** by $Cat_f(a, b; n)$. Any such form f is the **dual socle generator** of some Gorenstein Artin algebra A ([Eis95, Thm. 21.6]) with socle degree d and Hilbert function

$$h_i(A) = \text{rank}(Cat_f(i, d - i; n)).$$

Macaulay's theorem on the growth of the Hilbert function of an Artin algebra ([BH93, Thm. 4.2.10]) implies that if $h_i < k$ for some $i \geq k - 1$, then the function becomes nonincreasing from that point on. In particular, since A is Gorenstein, h is symmetric, so if $h_i < k$ for some $k - 1 \leq i \leq d - k + 1$, then we have

$$h_1 \leq h_2 \leq \dots \leq h_{k-1} = h_k = \dots = h_{d-k+1} \geq h_{d-k+2} \geq \dots \geq h_d.$$

If we denote by $I_k(i) = I_k(\text{Cat}(i, d - i; n))$ the ideal of $k \times k$ minors of the i -th generic catalecticant, then the remarks above show that whenever $k - 1 \leq d - k + 1$ we have the following up-to-radical relations:

$$I_k(1) \subset \cdots \subset I_k(k - 1) = \cdots = I_k(d - k + 1) \supset \cdots \supset I_k(d - 1).$$

Conjecture 1.1 states that these relations hold exactly. We prove the conjecture in the case $k = 3$ in Section 5.

2.3. Secant Varieties of Veronese Varieties. Given a vector space U over a field K of characteristic zero, we write $\mathbb{P}U$ for the projective space of lines in U . For $0 \neq u \in U$, we denote by $[u]$ the corresponding line. For d a positive integer, we consider the Veronese embedding

$$\text{Ver}_d : \mathbb{P}(V^*) \rightarrow \mathbb{P}(S_{(d)}V^*), \text{ given by } [e] \mapsto [e^{(d)}].$$

Its k -th secant variety is the closure of the union of the linear subspaces spanned by collections of $k + 1$ points in the image of Ver_d . We denote it by $\sigma_{k+1}(\text{Ver}_d(\mathbb{P}V^*))$. Note that for $k = 0$ this is just the image of Ver_d .

The homogeneous coordinate ring of $\mathbb{P}(S_{(d)}V^*)$ is $S = \text{Sym}(S_{(d)}V)$, the symmetric algebra over $S_{(d)}V$. Using the basis $(z_\alpha) \subset S_{(d)}V$ dual to $(e^{(\alpha)}) \subset S_{(d)}V^*$ we can write S as the polynomial ring $K[z_\alpha]$. An important open problem is to find the ideal $I \subset S$ of polynomials vanishing on $\sigma_k(\text{Ver}_d(\mathbb{P}V^*))$ (see [LO10] for the current state of the art). The following result is well-known (see [IK99] or [Lan12]).

Lemma 2.1. *For every $1 \leq i \leq d$ and $k \geq 1$, the ideal $I_{k+1}(\text{Cat}(i, d - i; n))$ is contained in the ideal of $\sigma_k(\text{Ver}_d(\mathbb{P}V^*))$.*

3. THE “GENERIC” CASE

Let $W_d^r = \text{ind}_{S_r \sim S_d}^{S_N}(\mathbf{1})$ denote the induced representation of the trivial representation of the subgroup $S_r \sim S_d \subset S_N$, where $N = N(r, d) = r \cdot d$ and $S_r \sim S_d$ is the wreath product of S_r by S_d , regarded as a subgroup of S_N as follows. We think of S_N as the group of permutations of the set $\{1, \dots, N\}$ and embed $S_d^r = S_d \times S_d \times \cdots \times S_d$ (r times) into S_N by letting the i -th copy of S_d act as the permutations of the set $\{d \cdot (i - 1) + 1, \dots, d \cdot i\}$. Then $S_r \sim S_d$ is regarded as the normalizer of S_d^r inside S_N .

We shall think of W_d^r as the space of monomials

$$m = z_{\alpha_1} \cdots z_{\alpha_r}, \text{ where } \alpha_1, \dots, \alpha_r \text{ is a partition of the set } \{1, \dots, N\}, \\ \text{with } |\alpha_i| = d \text{ for all } i = 1, \dots, r.$$

An element σ of the symmetric group S_N acts on a monomial m as follows:

$$\sigma(m) = \sigma(z_{\alpha_1} \cdots z_{\alpha_r}) = z_{\sigma(\alpha_1)} \cdots z_{\sigma(\alpha_r)},$$

where for a subset $\alpha \subset \{1, \dots, N\}$,

$$\sigma(\alpha) = \{\sigma(x) : x \in \alpha\}.$$

Definition 3.1 (Generic flattenings). For $k \leq r$, a, b with $a + b = d$, and disjoint subsets $\alpha_1, \dots, \alpha_k, \beta_1, \dots, \beta_k \subset \{1, \dots, N\}$ with $|\alpha_i| = a$, $|\beta_i| = b$ for all $i = 1, \dots, k$, we let

$$[\alpha_1, \dots, \alpha_k | \beta_1, \dots, \beta_k] = \det(z_{\alpha_i \cup \beta_j})_{1 \leq i, j \leq k}.$$

Fixing k, d and a, b with $a + b = d$, we define the ideal of generic $k \times k$ minors of the a -th catalecticant to be the collection, indexed by r , of subrepresentations $I_k^r(a, b) \subset W_d^r$ spanned by the expressions

$$[\alpha_1, \dots, \alpha_k | \beta_1, \dots, \beta_k] \cdot z_{\gamma_1} \cdots z_{\gamma_{r-k}},$$

where $\alpha_1, \dots, \alpha_k, \beta_1, \dots, \beta_k, \gamma_1, \dots, \gamma_{r-k}$ form a partition of the set $\{1, \dots, N\}$, with $|\alpha_i| = a$, $|\beta_i| = b$, $|\gamma_i| = d$. When r is understood from the context, we write $I_k(a, b)$ for the representation $I_k^r(a, b)$.

Example 3.2. Take $d = k = r = 3$, $a = 2$ and $b = 1$. A typical element of $I_3^3(a, b)$ looks like

$$D = [\{1, 2\}, \{4, 6\}, \{5, 8\} | \{3\}, \{7\}, \{9\}] = \det \begin{bmatrix} z_{\{1,2,3\}} & z_{\{1,2,7\}} & z_{\{1,2,9\}} \\ z_{\{4,6,3\}} & z_{\{4,6,7\}} & z_{\{4,6,9\}} \\ z_{\{5,8,3\}} & z_{\{5,8,7\}} & z_{\{5,8,9\}} \end{bmatrix}.$$

We would like to understand the decomposition into irreducible representations of all $I_k^r(a, b)$. This is of course a hopeless goal at this point, since not even the case $k = 1$, i.e. the symmetric plethysm problem of decomposing W_d^r , is understood in general. Nevertheless, we will be able to achieve our goal in the case of the representations $I_2^2(a, b)$ and $I_3^3(a, b)$. This will allow us to prove conjectures 1.1 and 1.2 in the special cases $k = 3$, $X = \mathbb{P}^n$, and to reprove Pucci's result (Theorem 4.1). We start with a general observation:

Proposition 3.3. *For any k, r, d , the subrepresentation $I_k^r(1, d-1) \subset W_d^r$ is the sum of the irreducible subrepresentations of W_d^r corresponding to partitions λ with at least k parts.*

Proof. This is a special case of Proposition 3.20 in [Rai11a]. \square

Remark 3.4. Proposition 3.3 is the analogue in the setting of S_N -representations of Corollary 7.2.3 in [Wey03] or Theorem 5.2.3.6 in [Lan12]. The representations $I_k^r(1, d-1)$ give the “generic equations” for the subspace varieties.

Given a partition λ of N , we index the boxes of its Young diagram in the usual way: the i -th box is the one whose entry in the canonical tableau is equal to i . Given a partition λ and monomial $m = z_{\alpha_1} \cdots z_{\alpha_r}$, we identify the element $c_\lambda \cdot m \in \text{hwt}_\lambda(W_d^r)$ with a tableau of shape λ , having d entries equal to i in the positions indexed by the elements of the set α_i . For example, if $\lambda = (6, 2)$, $r = d = 3$, $m = z_{1,3,8} \cdot z_{2,4,7} \cdot z_{5,6,9}$, we write

$$c_\lambda \cdot m = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 2 & 1 & 2 & 3 & 3 \\ \hline 2 & 1 & 3 & & & \\ \hline \end{array}.$$

Two tableaux differing by a permutation of the numbers $\{1, \dots, r\}$ correspond to the same monomial, so we identify them.

$$c_\lambda \cdot m = c_\lambda \cdot z_{2,4,7} \cdot z_{5,6,9} \cdot z_{1,3,8} = \begin{array}{|c|c|c|c|c|c|} \hline 3 & 1 & 3 & 1 & 2 & 2 \\ \hline 1 & 3 & 2 & & & \\ \hline \end{array}.$$

Lemma 3.5. *With the above conventions, we have*

- (1) $T = c_\lambda \cdot m = 0$ if T has repeated entries in some column.
- (2) $T_1 = c_\lambda \cdot m_1$ and $T_2 = c_\lambda \cdot m_2$ are equal up to sign ($T_1 = \pm T_2$) if T_1, T_2 differ by permutations within columns or by permutations of columns of the same size.

Proof. This is a special case of Lemma 3.16 in [Rai11a]. \square

Definition 3.6. Let a, b and $I_k^r(a, b)$ as in Definition 3.1, let

$$D = [\alpha^1, \dots, \alpha^k | \beta^1, \dots, \beta^k] \cdot z_{\gamma^{k+1}} \cdots z_{\gamma^r} \in I_k^r(a, b),$$

and let $\lambda \vdash N$. We let $\gamma^i = \alpha^i \cup \beta^i$ for $i = 1, \dots, k$, and consider $T = c_\lambda \cdot m$ the tableau corresponding to the monomial

$$m = z_{\gamma^1} \cdots z_{\gamma^r}.$$

We represent $c_\lambda \cdot D \in \text{hwt}_\lambda(I_k^r(a, b))$ as the tableau \hat{T} obtained from T by circling the entries in the boxes corresponding to the elements of $\alpha^1, \dots, \alpha^k$. Alternatively, we can circle the entries in the boxes corresponding to the elements of β^1, \dots, β^k . It follows that \hat{T} is the sum between T and a linear combination of tableaux obtained from T by permuting the circled entries.

Example 3.7. Let D be as in Example 3.2. We get

$$m = z_{1,2,3} \cdot z_{4,6,7} \cdot z_{5,8,9},$$

so that

$$T = c_\lambda \cdot m = \begin{array}{|c|c|c|c|c|} \hline 1 & 1 & 1 & 2 & 3 \\ \hline 2 & 2 & 3 & & \\ \hline 3 & & & & \\ \hline \end{array},$$

and

$$\hat{T} = c_\lambda \cdot D = \begin{array}{|c|c|c|c|c|} \hline \textcircled{1} & \textcircled{1} & 1 & \textcircled{2} & \textcircled{3} \\ \hline \textcircled{2} & 2 & \textcircled{3} & & \\ \hline 3 & & & & \\ \hline \end{array}.$$

We have

$$\hat{T} = T - \begin{array}{|c|c|c|c|c|} \hline 2 & 2 & 1 & 1 & 3 \\ \hline 1 & 2 & 3 & & \\ \hline 3 & & & & \\ \hline \end{array} - \begin{array}{|c|c|c|c|c|} \hline 3 & 3 & 1 & 2 & 1 \\ \hline 2 & 2 & 1 & & \\ \hline 3 & & & & \\ \hline \end{array} - \begin{array}{|c|c|c|c|c|} \hline 1 & 1 & 1 & 3 & 2 \\ \hline 3 & 2 & 2 & & \\ \hline 3 & & & & \\ \hline \end{array} + \begin{array}{|c|c|c|c|c|} \hline 2 & 2 & 1 & 3 & 1 \\ \hline 3 & 2 & 1 & & \\ \hline 3 & & & & \\ \hline \end{array} + \begin{array}{|c|c|c|c|c|} \hline 3 & 3 & 1 & 1 & 2 \\ \hline 1 & 2 & 2 & & \\ \hline 3 & & & & \\ \hline \end{array}.$$

Notice that all the tableaux pictured above have a repeated entry in one of their first two columns, hence are equal to zero by Lemma 3.5. This shows that $T = \hat{T} \in I_3^3(2, 1)$. This example captures the main ingredient of our proof of Geramita's conjecture.

Proposition 3.8. *Let W denote the $GL(V)$ -representation $S_{(r)}S_{(d)}V$, let $N = r \cdot d$ and let $W' = W_d^r$ be the S_N -representation described above. Fix a partition $\lambda \vdash N$ with at most $n = \dim(V)$ parts. There exist polarization and specialization maps*

$$P_\lambda : \text{wt}_\lambda(W) \longrightarrow W', \quad Q_\lambda : W' \longrightarrow \text{wt}_\lambda(W),$$

inducing inverse isomorphisms between

$$\text{hwt}_\lambda(I_k^r(a, b)) \simeq \text{hwt}_\lambda(I_k(\text{Cat}(a, b; n))_r).$$

Proof. This is a special case of Proposition 3.27 in [Rai11a]. □

It follows that in order to show that $I_k(\text{Cat}(a, b; n))$ are all the same as long as $a, b \geq 2$, it suffices to prove the corresponding statement in the generic case, i.e. for the representations $I_k(a, b)$.

Corollary 3.9 (Inheritance, [Lan12]). *Let $k, r \geq 0$ and fix λ a partition with k parts. The multiplicity of the irreducible representation $S_\lambda V$ in $I_k(\text{Cat}(a, b; n))_r$ is independent of the dimension n of the vector space V , as long as $k \leq n$.*

4. 2×2 MINORS

In this section we give two proofs of the following result of Pucci, which is the case $k = 2$ of Conjecture 1.1. The first proof works in arbitrary characteristic, while the second one is a characteristic zero proof meant to illustrate the methods that we shall use in the case of higher minors.

Theorem 4.1 ([Puc98]). *Let K be a field of arbitrary characteristic and let $n, d \geq 2$ be integers. For all t with $1 \leq t \leq d - 1$ we have*

$$I_2(\text{Cat}(1, d - 1; n)) = I_2(\text{Cat}(t, d - t; n)).$$

Proof in arbitrary characteristic. For multisets m_1, m_2, n_1, n_2 we let

$$[m_1, m_2 | n_1, n_2] = \begin{vmatrix} z_{m_1 \cup n_1} & z_{m_1 \cup n_2} \\ z_{m_2 \cup n_1} & z_{m_2 \cup n_2} \end{vmatrix}.$$

With this notation, we have the following identity for multisets $u_1, u_2, v_1, v_2, \alpha_1, \alpha_2, \beta_1, \beta_2$:

$$\begin{aligned} [u_1 \cup u_2, v_1 \cup v_2 | \alpha_1 \cup \alpha_2, \beta_1 \cup \beta_2] &= [u_1 \cup \alpha_1, v_1 \cup \beta_1 | u_2 \cup \alpha_2, v_2 \cup \beta_2] \\ &\quad + [u_1 \cup \beta_2, v_1 \cup \alpha_2 | v_2 \cup \alpha_1, u_2 \cup \beta_1]. \end{aligned} \quad (4.1)$$

We shall prove that $I_2(\text{Cat}(a, b; n)) \subset I_2(\text{Cat}(a + 1, b - 1; n))$ for $a + b = d$ and $1 \leq a \leq d - 2$. This is enough to prove the equality of the 2×2 minors of all the catalecticants, since $I_2(\text{Cat}(1, d - 1; n)) = I_2(\text{Cat}(d - 1, 1; n))$. Since the ideal $I_2(\text{Cat}(a, b; n))$ is generated by minors $[m_1, m_2 | n_1, n_2]$ with $|m_1| = |m_2| = a$ and $|n_1| = |n_2| = b$, it follows from 4.1 that it's enough to decompose m_1, m_2, n_1, n_2 as

$$m_1 = u_1 \cup u_2, \quad m_2 = v_1 \cup v_2, \quad n_1 = \alpha_1 \cup \alpha_2, \quad n_2 = \beta_1 \cup \beta_2,$$

in such a way that

$$\begin{aligned} |u_1| + |\alpha_1| &= |v_1| + |\beta_1| = a + 1, & |u_2| + |\alpha_2| &= |v_2| + |\beta_2| = b - 1, \\ |u_1| + |\beta_2| &= |v_1| + |\alpha_2| = b - 1, & |v_2| + |\alpha_1| &= |u_2| + |\beta_1| = a + 1, \end{aligned} \quad (4.2)$$

or

$$\begin{aligned} |u_1| + |\alpha_1| &= |v_1| + |\beta_1| = a + 1, & |u_2| + |\alpha_2| &= |v_2| + |\beta_2| = b - 1, \\ |u_1| + |\beta_2| &= |v_1| + |\alpha_2| = a + 1, & |v_2| + |\alpha_1| &= |u_2| + |\beta_1| = b - 1. \end{aligned} \quad (4.3)$$

If $a \leq 2b - 2$, then we can find $0 \leq x, y \leq b - 1$ with $x + y = a$. Choose any such x, y and decompose

$$m_1 = u_1 \cup u_2, \quad m_2 = v_1 \cup v_2, \quad \text{with } |u_2| = |v_1| = x \text{ and } |u_1| = |v_2| = y,$$

and

$$\begin{aligned} n_1 &= \alpha_1 \cup \alpha_2, \quad n_2 = \beta_1 \cup \beta_2, \quad \text{with} \\ |\alpha_1| &= x + 1, \quad |\beta_1| = y + 1, \quad |\alpha_2| = b - 1 - x \text{ and } |\beta_2| = b - 1 - y. \end{aligned}$$

It's easy to see then that 4.2 is satisfied.

If $b \leq 2a + 2$, then since $b \geq 2$ ($a \leq d - 2$), we can find $1 \leq x, y \leq a + 1$ with $x + y = b$. Choose any such x, y and decompose

$$n_1 = \alpha_1 \cup \alpha_2, \quad n_2 = \beta_1 \cup \beta_2, \quad \text{with } |\alpha_2| = |\beta_1| = x \text{ and } |\alpha_1| = |\beta_2| = y,$$

and

$$m_1 = u_1 \cup u_2, \quad m_2 = v_1 \cup v_2, \quad \text{with} \\ |u_1| = a + 1 - y, \quad |v_1| = a + 1 - x, \quad |u_2| = y - 1 \text{ and } |v_2| = x - 1.$$

It's easy to see then that 4.3 is satisfied.

If neither of $a \leq 2b - 2$ and $b \leq 2a + 2$ holds, then

$$a \geq 2b - 1 \geq 2(2a + 3) - 1 = 4a + 5,$$

so $0 \geq 3a + 5$, a contradiction. \square

Proof in characteristic zero. By Proposition 3.8, it's enough to treat the “generic case”. We want to show that for positive integers a, b with $a + b = d$, and $N = 2d$, all S_N -subrepresentations $I_2(a, b) \subset W_d^2 = \text{ind}_{S_d \times S_2}^{S_N}(\mathbf{1})$ are the same. Clearly the trivial representation $[(N)]$ is not contained in any $I_2(a, b)$, so

$$I_2(a, b) \subseteq W_d^2 / [(N)] = \bigoplus_{i=1}^{\lfloor d/2 \rfloor} [(2 \cdot (d - i), 2 \cdot i)], \quad \text{for all } a, b \text{ with } a + b = d.$$

(see [Mac95, I.8, Ex. 6] for the formula of the decomposition of W_d^2 into irreducible representations; as the rest of the proof will show, we don't really need the precise description of this decomposition).

We will finish the proof by showing that all of the above inclusions are in fact equalities. To see this, it's enough to prove that for any a, b with $a + b = d$, any partition λ with two parts, and any monomial $m = z_\alpha \cdot z_\beta$, with $\alpha \sqcup \beta = \{1, \dots, N\}$, we have $c_\lambda \cdot m \in I_2(a, b)$. Fix then such $a, b, \lambda = (\lambda_1, \lambda_2)$ and $m = z_\alpha \cdot z_\beta$.

Recall from Section 3 that we can identify $c_\lambda \cdot m$ with a tableau T of shape λ with 1's in the positions indexed by the elements of α , and 2's in the positions indexed by the elements of β . Recall also that if T has repeated entries in a column, then $T = 0$. Since permutations within columns of T can only change the sign of T , and permutations of the columns of T of the same size don't change the value of T (Lemma 3.5), we can assume in fact that $m = z_{\{1, \dots, d\}} \cdot z_{\{d+1, \dots, N\}}$ and

$$T = c_\lambda \cdot z_{\{1, \dots, d\}} \cdot z_{\{d+1, \dots, N\}} = \begin{array}{|c|c|c|c|c|c|c|} \hline 1 & 1 & 1 & \cdots & 2 & 2 & \cdots \\ \hline 2 & 2 & \cdots & & & & \\ \hline \end{array}.$$

Consider the sets

$$\alpha_1 = \{2, \dots, a + 1\}, \quad \alpha_2 = \{1, \dots, d\} \setminus \alpha_1, \quad \beta_1 \text{ and } \beta_2 = \{d + 1, \dots, N\} \setminus \beta_1,$$

where β_1 is any subset with a elements of $\{d + 1, \dots, N\}$ containing $\lambda_1 + 1$. Let \tilde{T} be the tableau obtained from T by circling the boxes corresponding to the entries of α_1 and β_1 (see Definition 3.6). We have

$$\tilde{T} = c_\lambda \cdot [\alpha_1, \beta_1 | \alpha_2, \beta_2] = c_\lambda (z_{\alpha_1 \cup \alpha_2} \cdot z_{\beta_1 \cup \beta_2} - z_{\alpha_1 \cup \beta_2} \cdot z_{\alpha_2 \cup \beta_1}) = T - T',$$

where T' is a tableau with two equal entries in its first column, i.e. $T' = 0$. We get

$$T = \tilde{T} \in I_2(a, b),$$

completing the proof. \square

Remark 4.2. The characteristic zero case also follows by inheritance (Proposition 3.8 and Corollary 3.9): since all the partitions λ that show up have at most two parts, it suffices by inheritance to prove the theorem when $n = 2$, but in this case all the catalecticant ideals are the same, as remarked in the introduction (1.1).

5. GERAMITA'S CONJECTURE

We are now ready to give an affirmative answer to Geramita's questions Q5a and Q5b in the introduction.

Theorem 5.1. *Let K be a field of characteristic 0 and let $n \geq 2$, $d \geq 4$ be integers. The following statements hold:*

- (1) *For all t with $2 \leq t \leq d - 2$ we have*

$$I_3(\text{Cat}(2, d - 2; n)) = I_3(\text{Cat}(t, d - t; n)).$$

- (2) *There is a strict inclusion*

$$I_3(\text{Cat}(1, d - 1; n)) \subsetneq I_3(\text{Cat}(2, d - 2; n)).$$

Corollary 5.2. *Any of the ideals $I_3(\text{Cat}(t, d - t; n))$, $2 \leq t \leq d - 2$, is the ideal of the first secant variety of the d -th Veronese embedding of \mathbb{P}_K^{n-1} .*

Proof. This follows from [Kan99, Theorem 3.3(ii)] and Theorem 5.1. \square

Proof of Theorem 5.1. To prove (1), it suffices by Proposition 3.8 to show that $I_3(2, d - 2) = I_3(t, d - t) \subset W_d^3$ for $2 \leq t \leq d - 2$. The λ -highest weight spaces of all $I_3(t, d - t)$, $2 \leq t \leq d - 2$, are the same when λ has at most two parts. This follows by inheritance: combine Proposition 3.8 with the fact that the theorem is known when $n = 2$ (1.1). We shall prove that when λ has three parts, the λ -part of $I_3(t, d - t)$ is equal to the λ -part of W_d^3 for all t with $1 \leq t \leq d - 1$ (we already know this when $t = 1$, by Proposition 3.3). This will imply (1) and the inclusion of (2). The reason why this inclusion is strict for $d \geq 4$ is because it is already strict when $n = 2$, and because inheritance holds for catalecticant ideals.

Consider a partition $\lambda = (\lambda_1, \lambda_2, \lambda_3)$ with 3 parts, a monomial $m \in W_d^3$ with corresponding tableau $T = c_\lambda \cdot m$, and integers $2 \leq a \leq b$ with $a + b = d$. We shall prove that $T \in I_3(a, b)$. We will see that if λ has only one entry in the second column, then $T = 0$, so let's assume this isn't the case for the moment. We may also assume that T has no repeated entries in a column (Lemma 3.5). Since permuting the numbers 1, 2, 3 in the tableau T doesn't change T , and permutations within the columns of T preserve T up to sign, we may assume that T contains the subtableau

1	1
2	2
3	

in its first two columns (there may or may not be a third box in the second column of λ).

It follows that $m = z_{\gamma_1} z_{\gamma_2} z_{\gamma_3}$, with $\gamma_1 = \{1, 2, \dots\}$, $\gamma_2 = \{\lambda_1 + 1, \lambda_1 + 2, \dots\}$ and $\gamma_3 = \{\lambda_1 + \lambda_2 + 1, \dots\}$, $|\gamma_i| = d$. Consider subsets $\alpha_i \subset \gamma_i$, $|\alpha_i| = a$ satisfying the conditions

$$1, 2 \in \alpha_1, \quad \lambda_1 + 1 \in \alpha_2, \lambda_1 + 2 \notin \alpha_2, \quad \lambda_1 + \lambda_2 + 1 \notin \alpha_3,$$

and let $\beta_i = \gamma_i \setminus \alpha_i$, for $i = 1, 2, 3$. Let \tilde{T} be the tableau obtained from T by circling the entries of $\alpha_1, \alpha_2, \alpha_3$, so that $\tilde{T} \in I_3(a, b)$. \tilde{T} looks like

$$\tilde{T} = \begin{array}{|c|c|c|} \hline \textcircled{1} & \textcircled{1} & \cdot \\ \hline \textcircled{2} & 2 & \cdot \\ \hline 3 & \cdot & \cdot \\ \hline \end{array}.$$

We get

$$\tilde{T} = c_\lambda \cdot [\alpha_1, \alpha_2, \alpha_3 | \beta_1, \beta_2, \beta_3] = T + \sum_{j=1}^5 \pm T_j,$$

where each T_j is a tableau with repeated entries in one of its first two columns (i.e. $T_j = 0$). It follows that

$$T = \tilde{T} \in I_3(a, b),$$

as in Example 3.7, which is what we wanted to prove.

To see that $T = c_\lambda \cdot m = 0$ for all monomials m when $\lambda = (3d-2, 1, 1)$, it suffices to notice that if σ is the transposition of the $(3d-1)$ -st and $3d$ -th boxes of λ (the 2nd and 3rd boxes in the first column of λ), then $\sigma(T) = c_\lambda \cdot (\sigma \cdot m)$ and T are the same up to permutations of columns size 1 (and permutations of the entries of the alphabet $\mathcal{A} = \{1, 2, 3\}$). It follows that

$$c_\lambda \cdot m = c_\lambda \cdot (\sigma \cdot m) = (c_\lambda \cdot \sigma) \cdot m = -c_\lambda \cdot m,$$

so that $T = c_\lambda \cdot m = 0$, as desired. Alternatively, see [Mac95, I.8, Ex. 9] for a description of the decomposition of W_d^3 into a sum of irreducible representations. \square

ACKNOWLEDGMENTS

I would like to thank David Eisenbud for his guidance throughout the project, Charley Crissman for numerous conversations on the subject, and Tony Geramita, Tony Iarrobino and Bernd Sturmfels for helpful comments and suggestions. I also thank Dan Grayson and Mike Stillman for making Macaulay2 ([GS]), which served as a good source of inspiration. This work was partially supported by National Science Foundation Grant No. 0964128.

REFERENCES

- [BH93] Winfried Bruns and Jürgen Herzog, *Cohen-Macaulay rings*, Cambridge Studies in Advanced Mathematics, vol. 39, Cambridge University Press, Cambridge, 1993. MR1251956 (95h:13020) ↑2.2
- [BB10] Weronika Buczyńska and Jarosław Buczyński, *Secant varieties to high degree Veronese reembeddings, catalecticant matrices and smoothable Gorenstein schemes*, arXiv **1012.3563** (2010). ↑1
- [BGL10] Jarosław Buczyński, Adam Ginensky, and J. M. Landsberg, *Determinantal Equations for Secant Varieties and the Eisenbud-Koh-Stillman Conjecture*, arXiv **1007.0192** (2010). ↑1
- [Con98] Aldo Conca, *Straightening law and powers of determinantal ideals of Hankel matrices*, Adv. Math. **138** (1998), no. 2, 263–292, DOI 10.1006/aima.1998.1740. MR1645574 (99i:13020) ↑1, 1
- [Eis95] David Eisenbud, *Commutative algebra*, Graduate Texts in Mathematics, vol. 150, Springer-Verlag, New York, 1995. With a view toward algebraic geometry. MR1322960 (97a:13001) ↑2.2
- [Eis88] ———, *Linear sections of determinantal varieties*, Amer. J. Math. **110** (1988), no. 3, 541–575, DOI 10.2307/2374622. MR944327 (89h:14041) ↑1, 1
- [FH91] William Fulton and Joe Harris, *Representation theory*, Graduate Texts in Mathematics, vol. 129, Springer-Verlag, New York, 1991. A first course; Readings in Mathematics. MR1153249 (93a:20069) ↑2.1

- [Ger96] Anthony V. Geramita, *Inverse systems of fat points: Waring's problem, secant varieties of Veronese varieties and parameter spaces for Gorenstein ideals*, The Curves Seminar at Queen's, Vol. X (Kingston, ON, 1995), Queen's Papers in Pure and Appl. Math., vol. 102, Queen's Univ., Kingston, ON, 1996, pp. 2–114. MR1381732 (97h:13012) ↑1
- [Ger99] ———, *Catalecticant varieties*, Commutative algebra and algebraic geometry (Ferrara), Lecture Notes in Pure and Appl. Math., vol. 206, Dekker, New York, 1999, pp. 143–156. MR1702103 (2000f:14075) ↑1, 1
- [GP82] Laurent Gruson and Christian Peskine, *Courbes de l'espace projectif: variétés de sécantes*, Enumerative geometry and classical algebraic geometry (Nice, 1981), Progr. Math., vol. 24, Birkhäuser Boston, Mass., 1982, pp. 1–31 (French). MR685761 (84m:14061) ↑1, 1
- [GS] Daniel R. Grayson and Michael E. Stillman, *Macaulay 2, a software system for research in algebraic geometry*, Available at <http://www.math.uiuc.edu/Macaulay2/>. ↑5
- [IK99] Anthony Iarrobino and Vassil Kanev, *Power sums, Gorenstein algebras, and determinantal loci*, Lecture Notes in Mathematics, vol. 1721, Springer-Verlag, Berlin, 1999. Appendix C by Iarrobino and Steven L. Kleiman. MR1735271 (2001d:14056) ↑1, 2.3
- [Kan99] Vassil Kanev, *Chordal varieties of Veronese varieties and catalecticant matrices*, J. Math. Sci. (New York) **94** (1999), no. 1, 1114–1125. Algebraic geometry, 9. MR1703911 (2001b:14078) ↑1, 5
- [Lan12] J. M. Landsberg, *Tensors: Geometry and Applications*, Graduate Studies in Mathematics, vol. 128, American Mathematical Society, 2012. ↑2.3, 3.4, 3.9
- [LO10] J. M. Landsberg and Giorgio Ottaviani, *Equations for Secant Varieties to Veronese Varieties*, arXiv **1006.0180** (2010). ↑2.3
- [Mac95] I. G. Macdonald, *Symmetric functions and Hall polynomials*, 2nd ed., Oxford Mathematical Monographs, The Clarendon Press Oxford University Press, New York, 1995. With contributions by A. Zelevinsky; Oxford Science Publications. MR1354144 (96h:05207) ↑2.1, 4, 5
- [Puc98] Mario Pucci, *The Veronese variety and catalecticant matrices*, J. Algebra **202** (1998), no. 1, 72–95, DOI 10.1006/jabr.1997.7190. MR1614174 (2000c:14071) ↑1, 4.1
- [Rai11a] Claudiu Raicu, *Secant varieties of Segre–Veronese varieties*, arXiv **1011.5867** (2011). ↑1, 3, 3, 3
- [Rai11b] ———, *Secant varieties of Segre–Veronese varieties*, Ph.D. Thesis, Available at <http://math.princeton.edu/~craicu> (2011). ↑1
- [SS09] Jessica Sidman and Gregory Smith, *Linear Determinantal Equations for all Projective Schemes*, arXiv **0910.2423** (2009). ↑1, 1
- [Wey03] Jerzy Weyman, *Cohomology of vector bundles and syzygies*, Cambridge Tracts in Mathematics, vol. 149, Cambridge University Press, Cambridge, 2003. MR1988690 (2004d:13020) ↑3.4

DEPARTMENT OF MATHEMATICS, PRINCETON UNIVERSITY, PRINCETON, NJ 08544-1000
 INSTITUTE OF MATHEMATICS “SIMION STOILOW” OF THE ROMANIAN ACADEMY
 E-mail address: craicu@math.princeton.edu